



REVIEW ARTICLE

Treatment adherence in schizophrenia: a literature review

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Abstract: Introduction: Evaluating adherence to treatment with antipsychotic agents in patients with schizophrenia is a challenge for any psychiatric doctor, given its inherent subjectivity. Despite this, nonadherence to treatment is prejudicial to the clinical course of the disease, resulting in a greater risk of acute exacerbations of illness and hospital admissions. The aim of this study is to review the current literature on factors that influence adherence to antipsychotic treatment in patients with schizophrenia.

Methods: The literature, written in English between 2006 and 2016 and available in full in PubMed/MEDLINE, evaluating potential risk factors for treatment compliance in adult patients (over 18 years of age) with schizophrenia, was reviewed by searching on the keywords: *adherence, nonadherence, compliance, noncompliance, schizophrenia, psychosis, antipsychotic, neuroleptic*.

Results: Thirty-nine studies were included in the final review. The average level of treatment adherence was 32%. The following risk factors for nonadherence to treatment were identified: young age, substance abuse, psychotic psychopathology present, absence of insight into the disorder and the need for treatment, negative attitudes to treatment, poor doctor-patient relationship and lack of social and family support.

Conclusions: Interventions aimed at improving treatment adherence must be individualised for each patient, on the basis of a full clinical history, so that all the individual aspects contributing to this variable can be appropriately assessed.

Keywords: adherence, nonadherence, compliance, noncompliance, schizophrenia, psychosis, antipsychotic, neuroleptic

1. Introduction

1.1. Adherence – definition and measurements

Treatment adherence is normally defined as the degree of agreement between a patient's behaviour and the guidance of the physician or another health professional in relation to a certain treatment; it is easily understood as a dichotomous variable (is the patient adhering to the treatment?)¹⁻³. This is a definition that focuses strictly on compliance. It does not make a distinction between behaviour (did the patient actually take the medication?) and attitude to therapy (did the patient want to stick to the treatment?)¹.

In truth, treatment adherence should be understood as a set of dynamic behaviours that vary over time in the same patient^{4,5}. According to the health belief model, the probability of a patient complying with a given treatment or not is the product of a subjective comparison of the risks and benefits of treatment according to the patient's expect-

tations and goals for it⁶. This subjective assessment by patients depends on factors like their perception of their health, the benefits of treatment, the obstacles to compliance that they face (adverse effects, the need to keep to a certain timetable, even cost) and other external factors⁷. When analysing all the factors that patients assess in their context, the probability of compliance with treatment is higher if the benefits outweigh the negative aspects.

Evaluating treatment adherence is a challenge present in the daily work of any doctor. Direct or indirect methods can be used. Direct methods (counting tablets, measuring the concentration of the drug/metabolites in blood or urine, or electronic systems like the Medication Event Monitoring System – MEMS®) are considered the most reliable, despite the fact that their use is limited by individual pharmacokinetic and pharmacodynamic variations, their higher cost and their more intrusive nature¹.

On the other hand, indirect (or subjective) measures of compliance are more widely used in clinical practice, as they are relatively easy to apply (asking patients about

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their adherence, assessing clinical response or even consulting written records that patients have been instructed to keep). However, their validity is more questionable, and so the risk of overestimating compliance is real and should not be overlooked⁸.

Noncompliance with treatment is a common behaviour in clinical practice; it is a source of concern of growing importance worldwide. In developed countries, treatment adherence rates in the region of 50% are observed in chronic diseases like asthma and diabetes⁹. In acute conditions compliance rates are typically higher, as the need for treatment is transient and time-limited¹.

In patients with a psychiatric pathology, levels of adherence to treatment are typically lower than in patients with organic pathologies¹⁰. In patients with depression, approximately half autonomously discontinue treatment three months after it starts, without consulting a physician; in patients with bipolar disease, the level of compliance may be around just 35%, principally in those with active psychosis^{1,10}.

1.2. Treatment adherence in patients with schizophrenia

Schizophrenia is a chronic illness with a considerable social impact that affects more than 23 million people worldwide¹¹. Although its clinical course is highly variable, it typically manifests in young individuals at the end of adolescence/start of adult life and is distributed evenly across the two genders, although the first manifestations of the disease occur earlier in the males than females.

In patients with schizophrenia, antipsychotics are one of the essential foundations of treatment of this pathology¹² and many factors have been studied for a possible association with low levels of treatment adherence. Psychotic symptoms and cognitive deficits affect patients' perception, as well as their ability to plan and act, and so are a decisive factor in treatment compliance in patients with schizophrenia⁶. According to the literature, levels of low adherence are similar to those seen in other chronic diseases, with half of patients being included in the low treatment compliance group⁷. Given the chronicity of treatment, some studies report low adherence rates of between 75% and 90% within 1 to 2 years of most recent hospital admission for psychiatric illness¹³.

First-generation antipsychotics (like haloperidol and chlorpromazine) are very effective drugs in controlling psychotic symptoms. However, they are associated with the occurrence of adverse effects, particularly extrapyramidal symptoms, that are a source of concern because of the high risk of discontinuation of treatment. Second-generation antipsychotics (such as, for example, clozapine, risperidone and quetiapine) are associated with a lower incidence of extrapyramidal effects, although other secondary effects are observed (such as weight gain, diabetes and

dyslipidemia) that also have a negative influence on treatment adherence^{14,15}. Delayed-release antipsychotics (administered via the intramuscular route every 2 to 6 weeks) have since been developed. In addition to keeping serum levels of antipsychotics more stable over time (as they are less dependent on treatment adherence), they also make it easier to identify patients who discontinue treatment¹⁶.

The consequences of nonadherence to treatment in schizophrenia are multiple and seen at various levels. They have implications for stabilisation of the disorder, in particular because of the increased risk of psychotic episodes and hospital readmissions, which in turn worsen prognosis^{17,18}. For the patient, nonadherence can result in absence of response or partial response to treatment, with a consequent increase in the frequency of recurrence or aggravation of symptoms and the need for hospital admission to control psychopathology³. The relationship between nonadherence and increased risk of hospitalisation is mentioned consistently in the literature¹⁷; nonadherence shortly after most recent admission is a strong predictor of early readmission to hospital¹⁸.

Remission of symptoms proves a more demanding clinical challenge with every relapse: cumulatively worse functional outcomes and cognitive deficits are seen over the course of the clinical evolution of patients who repeatedly fail to comply with treatment^{17,19}. In this way, the costs associated with treatment of schizophrenia increase significantly in comparison with patients who follow the treatment plan, both because of the higher number of admissions and because a longer period of admission is required to stabilise the clinical picture. In addition, noncompliance is associated with lower quality of life and higher levels of patient dissatisfaction, as well as more risky behaviours (because of consumption of alcohol and narcotics) that can have a negative impact for the individual and on society²⁰. It is clear that the prognosis in schizophrenia is jeopardised by noncompliance with the treatment plan, since in addition to increasing the risk of acute exacerbation of the disease, it can also lead to the chronic presence of psychotic symptoms²¹. Lastly, the risk of suicide increases by a factor of four in patients who do not adhere to treatment; death by suicide is a significant cause of premature death in patients with schizophrenia³.

2. Aims

The aim of this paper is to review the current literature on factors that influence treatment adherence in patients with schizophrenia who are medicated with antipsychotics.

3. Materials and Methods

A systematic review of the literature in English was conducted by searching in PubMed/MEDLINE between 2006 and 2016 using the following keywords: *adherence, non-adherence, compliance, noncompliance, schizophrenia,*

psychosis, antipsychotic, neuroleptic. The review included all articles with full text available in English that were original papers, with the purpose of assessing the impact of different risk factors on treatment adherence in schizophrenia in adults (over 18 years of age). Other exclusion criteria: studies with a sample smaller than 100 elements; if a clinical case, only the abstract available; editorials; unpublished papers; literature reviews.

The articles selected were evaluated according to their importance and their contribution to the main purpose of the review, resulting in a sample of 39 articles. The information gathered was then organised into categories of factors that influence treatment adherence: (a) patient-related factors, (b) treatment-related factors and (c) environment-related factors.

4. Results

4.1. Factors that influence treatment adherence

4.1.1. Patient-related factors

In the majority of cases, patients' sociodemographic characteristics (namely ethnicity, gender and marital status) do not appear to influence their level of adherence to treatment, given that no association is consistently reported among the studies analysed. However, younger patients appear to be at greater risk of not complying with therapy²²⁻²⁸. On the other hand, abuse of substances like alcohol and narcotics is related to nonadherence to treatment^{25, 27, 29-34}. The presence of addictive behaviours (at the onset of the disorder or in the course of its clinical progress) appears to have a positive predictive value for non-adherence and consequently they correlate with a worse prognosis^{25, 27, 30, 33-35}. The prevalence of dependencies in patients with schizophrenia is particularly high, which makes this factor highly significant in the evaluation of treatment adherence.

The influence of psychopathology is recognised and well documented in the literature^{22, 23, 38-44, 24, 26, 29, 31-33, 36, 37}. The presence and severity of psychotic symptoms reliably predict nonadherence^{22, 23, 45, 24, 29, 32, 33, 38, 39, 41, 44}. Persistence of psychotic symptoms may also be indicative of failure of the therapeutic regimen used, and should for this reason be carefully analysed. Some studies also suggest that the content of psychotic thoughts may influence treatment adherence, particularly if the patient exhibits delusions of grandeur, persecutory delusions or even delusions of poisoning². In addition, some studies indicate that patients who comply with treatment show fewer depressive symptoms; the evidence is still thin however and further investigation is required^{23, 24, 40}.

Patient insight, i.e. the ability of patients to acknowledge their mental illness and their need for treatment or not, can prove to be one of the biggest obstacles to therapeutic

success. Lack of insight is predictive of poor treatment adherence; on this the investigations undertaken agree^{22, 24, 47-50, 26, 30, 32, 37, 40, 43, 44, 46}. A patient's attitude to treatment also has a major impact on therapeutic compliance, and the literature is unanimous in stating that negative attitudes, at any stage of the clinical course of the disease, correlate with low adherence^{22, 26, 30, 37, 41, 44, 46, 48, 51}. Lack of insight and negative attitudes to treatment are an important focus of psychoeducational strategies that seek to promote better adherence³.

The majority of studies do not appear to correlate patients' cognitive level with their level of treatment adherence^{37, 45, 47, 49, 51}. However, limitations on executive functions and verbal memory may be correlated with poor compliance with the treatment plan^{40, 52}. It is possible that verbal memory does not independently influence adherence, but that it has an effect by contributing to the clear correlation between lack of insight and nonadherence⁴³. The patient's level of functioning appears to be directly related to treatment adherence; however the patient's subjective perception of quality of life does not appear to influence this measurement^{9, 24, 30, 51}.

The impact of patient follow-up (outpatient *versus* inpatient) and of compulsory admission to a psychiatric unit requires further investigation^{36, 53-55}. Moreover, severity of illness appears to influence treatment adherence, but age at onset of disease shows no relationship with compliance^{37, 49, 51, 56, 57}. Data as regards "duration of untreated psychosis" are contradictory and do not permit any conclusions to be drawn^{30, 51}. The existence of a history of aggressive behaviour and or abuse and/or childhood maltreatment appears also to correlate with lower levels of treatment adherence, but few studies have sought to investigate the impact of these variables^{31, 39, 42}. As only one study has investigated the impact of religious practice on treatment it is not possible to draw conclusions in this area²⁹.

4.1.2. Factors related to pharmacological treatment

The class and administration regimen of antipsychotics do not appear to influence adherence to the treatment plan^{23, 28, 34, 44, 49, 51}. However, where a statistically significant association is detected, it tends to favour use of atypical antipsychotics over first-generation antipsychotics and use of combination therapy^{22, 27, 31}. Within the same class (particularly among the second-generation antipsychotics), no significant differences in treatment compliance have been observed with different antipsychotics^{22, 24, 27}. The literature included in this review does not allow conclusions to be drawn in relation to the impact of prolonged-release agents on treatment adherence, as few studies have focused on this comparison: more attention has been paid to differences between typical and atypical agents^{23, 27}.

When assessed globally, the relationship between the secondary effects of antipsychotics and treatment adherence appears to be controversial, and correlations, where observed, are not consistent^{31, 41, 44, 49, 54, 58}. Some studies suggest that patients who comply with treatment to a greater degree report adverse effects more frequently^{24, 37, 45}, while others observe the opposite relationship^{22, 48, 59}.

Patient satisfaction with treatment was addressed as an independent variable in some studies, highlighting that greater satisfaction with and acceptance of treatment are correlated with higher levels of treatment adherence^{23, 56}.

4.1.3. Environment-related factors

The therapeutic relationship between physician and patient appears to be a fundamental and generally acknowledged element in optimising the patient's treatment adherence; there is some evidence that the quality of this relationship is predictive of the patient's level of compliance^{37, 39, 44, 50, 57, 60}. It is suggested in the literature that both the physician's perception and that of the patient as to the quality of the relationship established independently affect treatment adherence⁶⁰. In some cases, studies assessed the impact of components of the doctor-patient relationship (such as trust in the physician or clear communication with shared understanding, i.e. the ability to ensure that the proffered information is understood by the patient) that were responsible for direct and indirect effects (by modifying attitudes to treatment) on treatment adherence^{57, 60}.

Family and social support correlates with higher levels of adherence to treatment; the family's attitudes to treatment (if positive) can also be beneficial to treatment compliance³⁷. There is evidence that patients with better treatment adherence perceive a greater involvement of family in their recovery³⁶.

Other environmental factors, such as racism or stigma associated with mental illness, may be items of relevance to treatment adherence, but were evaluated in only a small number of studies^{61, 62}.

5. Discussion

The most powerful patient-related predictors of nonadherence to treatment studied in this review were the following: young age, concomitant substance abuse, active presence of psychotic symptomatology, absence of insight and presence of negative attitudes to treatment.

Some of these correlated factors, such as absence of insight and attitude to treatment are fairly intuitive, as it is easy to understand from the health beliefs model that patients unable to recognise that they have an illness are unlikely to acknowledge that they need treatment. In addition, a patient who objects to treatment is more likely to abandon the plan than a patient who agrees with the medication and acknowledges its effect.

A history of aggressive behaviours and trauma and/or abuse in childhood were new correlates found in the review. Spidel et al⁴² suggest that patients with history of the kinds mentioned may be at greater risk of noncompliance with treatment because they have a more restricted level of social functioning. This restricted level may be the result not only of avoiding social situations, which may be stigmatising for the patient, but also greater difficulty trusting others (and in particular health professionals).

Other factors, as in the case of "duration of untreated psychosis", may be relevant to treatment adherence; further research is necessary in this area. The same is true of religious practices, as the only study on the subject included here for review observed that patients who take part in group religious practices at monthly intervals (such as masses, prayer groups and sharing groups) showed rates of treatment adherence that were higher to a statistically significant degree²⁹. In the light of this observation, future investigation should focus on this area, to clarify the existence of this relationship and its basis – will it be due to a possible group pressure exercised, or is it due to religious belief *per se*? – as interventions involving the religious context could be designed with a view to optimising patients' treatment adherence.

Among factors related directly to pharmacological treatment, none appeared to influence treatment adherence significantly. Although statistically significant differences in treatment adherence between the two classes of antipsychotic were not observed, the lack of methodological consistency between studies on this point and the asymmetry in sample size under typical and atypical antipsychotics make it impossible to draw conclusions on this topic in particular. In addition, the publications included for review do not permit any conclusions regarding the impact of the advent of prolonged-release medication on treatment adherence; further studies should therefore be conducted in this area. However, it is known that decanoates (prolonged-release antipsychotics) help stabilise the patient, even if an oral dose is missed, reducing the number of clinical relapses and hospital readmissions⁶³.

The impossibility of drawing conclusions as regards the impact of adverse effects of antipsychotic medication is due to the fact that few studies have focused on this subject and there has been little methodological consistency among those that have (for example, the studies all used different scales for measuring adverse effects). This heterogeneity makes validation difficult and compromises any generalisation that might be made, despite the fact that it is known that up to 70% of patients on antipsychotics experience adverse effects, which may contribute to a decrease in treatment adherence^{3, 64}. In practical terms, adverse effects pose an imminent threat of altering the perception of the risk of the antipsychotic medication *versus* its benefit, negatively modifying the patient's attitude to treatment.

It is also important to underline that the reasons that may lead to discontinuation of treatment with each class differ, as the adverse effects associated with each class are different^{25,65}. In this sense, patients have benefited from the development of new antipsychotics, with fewer adverse effects, to limit the potential impact of this factor on treatment adherence.

It is worth mentioning that, although the majority of studies point to a decrease in treatment adherence with adverse effects, some authors state that the occurrence of adverse effects implicitly suggests compliance with the treatment plan. If this is the case, in some cases there may be remission of symptoms and an increase in insight into the disease, which may help patients to stay motivated to stick with the treatment, even if they experience adverse effects^{24,37,45}.

Environment-related factors like the quality of the doctor-patient relationship and social and family support, where present, contribute to better treatment adherence. In the studies included in this review, the overall doctor-patient relationship, to which multiple factors of emotional and cognitive origin contribute, was evaluated. Empathy is reported as one of the decisive factors in the quality of the doctor-patient relationship⁶⁶, appears to be of great importance to treatment adherence and has been little studied as yet. For example, in diabetic patients a positive association was observed between the doctor's empathy, treatment adherence and the patient's degree of satisfaction with the doctor⁶⁷. For this reason, there are authors who advocate that empathy should be actively taught and practised on undergraduate medicine courses, as early acquisition of these skills will have direct positive repercussions on the level of empathy that students will show as health professionals⁶⁸. As the majority of the literature in this area focuses primarily on physical conditions, it remains to be clarified whether this individual impact of empathy is verifiable also in adherence to treatment of psychiatric disorders like schizophrenia; this is a point of interest for future investigations. If this relationship is confirmed, interventions seeking to improve the empathy of psychiatrists could make a significant contribution to improving the doctor-patient relationship and levels of treatment adherence.

In this literature review, the stigma associated with mental illness and treatment with antipsychotics was analysed in a small number of articles. However, stigma (and the patient's perception of it) may be a decisive factor in treatment adherence. Stigma affects not only the patient, but also his or her family members and friends, who may have difficulty acknowledging the disorder in front of others, out of fear or shame⁶⁹. For the patient, stigma may have deleterious long-term consequences, resulting in low self-esteem and social isolation; this may have a negative influence on treatment adherence and compromise their recovery⁷⁰. Often the workplace is the place where a pa-

tient may be subject to a stigmatising environment created by his or her colleagues; there are indications in the literature that patients with a job tend to hide their treatment from their peers and to take it only in private, to reduce feelings of stigma in relation to their condition⁶¹. Patients may also anticipate some discrimination from their peers for their actions when they are in the acute phase without treatment (as with the remission of psychotic symptoms and the recovery of insight, patients may gain awareness of their bizarre behaviours during the psychotic phase) and this may actively contribute to social isolation.

Table 1 summarises potential risk factors for nonadherence to treatment according to the literature reviewed.

Table 1. Risk factors for nonadherence to treatment

| Risk factors for nonadherence to treatment |
|--|
| Young age |
| Comorbid substance abuse |
| Psychotic symptoms present |
| Lack of insight into disorder and need for treatment |
| Negative attitudes to treatment and/or low level of treatment satisfaction |
| Poor quality doctor-patient relationship |
| Lack of social and family support |

This review highlights the importance of not neglecting the problems associated with treatment adherence which, where they exist, should be approached from a holistic perspective, with special emphasis on the patient's subjective experience and on the dimensions of the treatment that the patient values. Often the clinician's priorities do not coincide with the patient's⁶; it is essential to reconcile the patient's concerns and preferences in order to increase the likelihood of treatment adherence. Identification of the patient's priorities may be facilitated by taking the patient's case history carefully.

As antipsychotics are the "therapeutic tool" *par excellence* in schizophrenia, and bearing in mind that the consequences of nonadherence can be disastrous, various strategies have been used to increase patients' treatment adherence³. The presence of psychotic symptoms and the absence of insight into their condition are known and reported factors that are decisive to treatment adherence and also have repercussions on building an empathic, trusting doctor-patient relationship. Patients benefit from a treatment plan that includes a full personal rehabilitation plan, making use of psychoeducational and cognitive behavioural interventions as well as occupational therapy. Active involvement of family in this process may be crucial to avoiding relapses¹⁴. Combining all the relevant decisive factors for an individual in their treatment, to structure a pharmacological, behavioural, family and social approach to the ill-

ness so that they comply with the treatment plan, may be the key to success in stabilising the patient.

This study has a number of limitations that should be mentioned. The current literature on factors that influence treatment adherence in schizophrenia is controversial, because of a lack of methodological coherence and of consensus on many definitions. This leads to a heterogeneity of results that makes it difficult to systematise ideas and interventions. In the first place, some articles relevant to the subject may not have been included, as only publications available in English in PubMed/MEDLINE were included. In the second place, the lack of methodological consistency among the various studies included for review (particularly as regards the definition of treatment adherence and the tools used to evaluate it) may be highlighted as a problem, as it makes it difficult to compare data and reach conclusions.

6. Conclusions

In schizophrenia, good adherence to treatment with antipsychotics is essential in order for remission of symptomatology and recovery of insight into the illness to occur. Poor adherence to pharmacological treatment has a negative effect on disease prognosis, as it increases the risk of psychotic episodes and hospital readmissions. With each relapse, symptomatic remission can become increasingly

difficult, increasing the risk of the development of residual psychotic symptoms.

In this review, the following were identified as the main risk factors for low treatment adherence in these patients: young age, substance abuse, the presence of psychotic symptoms, absence of insight into the disorder and the need for treatment, negative attitudes to treatment and/or low levels of treatment satisfaction, poor quality doctor-patient relationship and lack of social and family support. Each patient should be assessed fully and individually for possible factors that may contribute to poor treatment adherence. Identifying these factors will serve as a guide for planning interventions aimed at modifying this behaviour. Particularly prominent are psychoeducational interventions designed to increase the information patients have about their medical condition, and these can be individual or group interventions (including other patients or the patient's family). Involving family in the rehabilitation process is also of great importance for treatment adherence. The impact of the physician's empathy on treatment adherence has yet to be entirely clarified; further research into this important topic is therefore necessary. Investigation in this area may contribute to the development of new interventions in medical training, in this case reinforcing the clinician's ability to establish a high level of empathy, motivating the patient to comply fully with treatment.

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